REMEDIES AT THE SEAT AND ENFORCEMENT OF INTERNATIONAL ARBITRAL AWARDS: RES JUDICATA, ISSUE ESTOPPEL AND ABUSE OF PROCESS IN ENGLISH LAW

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ABSTRACT

Beyond the effect of a judgment setting aside the award, the relationship between a challenge of the award in the State of the seat and enforcement under Article V of the New York Convention is unclear. This article explores the effect of a judgment rejecting a challenge of the award at the seat of the arbitration in enforcement proceedings. In particular, it examines whether such a judgment, if recognized, gives rise to an estoppel in enforcement proceedings, which would preclude the party opposing enforcement from relitigating issues that have been decided by, or could have been raised before, the supervisory court. Furthermore, this article discusses whether a party who does not challenge an arbitral award at the seat of the arbitration can raise, in enforcement proceedings, a defence that could have been a ground for challenging the award at the seat of the arbitration. Broadly, the answer to these questions has been that a party is not under a duty to challenge the award at the seat and that a judgment rejecting a challenge of the award at the seat does not prevent the unsuccessful party from opposing the enforcement of the award in

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a foreign country. This article challenges this general assumption and demonstrates how the English law doctrines of res judicata, issue estoppel and abuse of process may prevent a party from opposing enforcement on grounds that have been, or could have been, raised at the seat of the arbitration.

KEYWORDS: international arbitration, challenges, New York Convention, enforcement, res judicata, estoppel, abuse of process